03-07-02

PTO/SB/21 (08-00)

How.

MAR 0 6 2002 ....

Signature

ase type a plus sign (+) inside this box -> [+]

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. DEPARTMENT OF U.

8		Applie	cati n Number	09/995,649		
TRANSMITTAL			Filing	Date	November 29, 20	001
F	FORM		First I	Nam d Inv nt r	Hiipakka	DECENT
(to be used for all co	rrespondence after i	nitial filing)	Group	Art	2173	RECEIVE
-			Exami	iner Name	N/a	MAR 1 4 20
otal Number of Page	s in This Submission		Attorn	ey Docket Number	04770.00029	Technology Center
		ENCLO	OSURES	(check all that apply)		
Fee Transmittal F	Fee Transmittat Form Assignm		ment Pa <sub>l</sub> A <i>pplicatior</i>		After Allowance Group	e Communication to
Fee Attached	1	☐ Drawing(s)		Appeal Commu	unication to Board of terferences	
Amendment / Rè	sponse	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information		
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter		
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):		
		Terminal Disclaimer		Form 1449 w/cited references		
Express Abandor	nment Request	Request for Refund		Return Re	ceipt Postcard	
Information Discl	osure Statement	CD, Number of CD(s)				
Certified Copy of Priority Document(s)		Rema	rks	Please charge any overpayment to Do duplicate copy of t	eposit Account No	o. 19-0733. A
Response to Miss Incomplete Appli				_ aupau		
Response to Parts under 3 1.52 or 1.53						
	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT	
Firm or Individual name	Binal J. Patel Banner & Witcoff,	Ltd.				
Signature	Ni,	MA				
Date	March 6, 2002		-			
	•	CEI	RTIFICA	ATE OF MAILING		
		s being depo	sited with	ATE OF MAILING  the the United States Posts  n, D.C. 20231 on this da		ss mail in an envelope
Typed or printed par				<u>1</u>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

**PATENT** 

MAR 0 6 2002 W

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Atty. Docket No. 04770.00029)

(A	tty. Docket No. 04770.00029)		
(A) Win re Application of:	<u> </u>		
Jarmo Hiipakka	)		
Serial No.: 09/995,649	)	Group Art Unit	: 2173
Serial Ivo 09/993,049	)	Examiner: n/a	a salam salam
Filed: November 29, 2001	)		
For: Method and Apparatus for	Presenting Auditory )		
Icons in a Mobile Terminal	• • •		RECEIVED
			MAR 1 4 2002
			MAR 1 4 2002

INFORMATION DISCLOSURE STATEMENT

4

**Technology Center 2100** 

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicant wishes to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. Copies of the references cited in the enclosed Form-1449 are enclosed.

In addition, the applicant wishes to reference the following related pending patent applications for the Examiner's reference:

- (1) U.S. Patent Application Serial No. 09/966,042, filed September 28, 2001 and entitled "Multilevel Sorting and Displaying of Contextual Objects"; and
- (2) U.S. Patent Application Serial No. 09/842,211, filed April 26, 2001 and entitled "Method And Apparatus For Displaying Prioritized Icons In A Mobile Terminal."

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) within three months of this application's filing date or before the mailing date of a first Office Action on the merits. Accordingly, there is no fee due for filing this Information Disclosure Statement.

Please charge any fees or credit overpayment associated with this paper to Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: <u>March 6, 2002</u>

By:

.)

Binal J. Patel Reg. No. 42,065

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

MAR 0 6 2002 Under the Paperwork Reduce

## MAN FORMATION DISCLOSURE STATEMENT BY APPLICANT

 C mplete if Known

 Application Number
 09/995,649

 Filing Date
 November 29, 2001

 First Named Inventor
 Hiipakka

 Group Art Unit
 2173

 Examiner Name
 n/a

(use as many sheets as necessary)

Sheet of Attorney Docket Number 04770.00029

Examiner Cite No.1	Cito	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
		US- 6,047,197	04/04/2000	Jarrad	
		US-			
		US-			
		US-	•		
		US-			
		US-			
		US-			RECEIVED
		US-			
		US-			MAR 1 4 2002
		US-			Will be a coop
		US-			Technology Center 210
		US-			100millology contor sive
		US-			

Examiner Cite No.1	Cito	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵	
		PCT-WO99/49453 (EP 1064647)	09/30/99	Huopaniemi		
		PCT-WO99/21164 (EP 1023716)	04/29/99	Huopaniemi		
		PCT-WO 00/65429	11/02/00	Tornqvist		
	1					
	1					

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

/	OIPE	
	MAR 0 6 2002	4
\Z	Sir and Rich	·/

Sheet

Examiner

Signature

PTO/SB/08A (10-01) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Substitute for form 1449A/PTO

Complete if Known

Application Number 09/995,649

Filing Date November 29, 2001

First Named Inventor Hiipakka

Group Art Unit 2173

Examiner Name n/a

Attorney Docket Number 04770.00029

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	-	RECEIN	<del>/ED</del>
<u></u>		MAR 1 4 Technology Cen	2002
		Technology Cen	ter 210
		76-37 <sub>1</sub>	
		Ö	

Date

Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

÷.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.



## CERTIFICATE OF MAILING BY EXPRESS MAIL

(Attorney Docket No. 04770.00029)

Express Mail No EV028365329US

Deposited March 6, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 3 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.

(person actually depositing)

In the Application of: Hiipakka

Serial Number: <u>09/995,649</u>

Filing Date:

November 29, 2001

Title:

Method and Apparatus for Presenting Auditory Icons in a Mobile Terminal

X Information Disclosure Statement

X Form 1449 w/cited references

X Transmittal letter

X Return Postcard

Attorney Docket No.: 04770.00029